

TATA AIA LIFE INSURANCE COMPANY LIMITED
(Incorporated in India)

FATCA/CRS Self-certification - Individual

Annexure to the Claimant Statement Form

Claimant's Name: <input type="text"/>	Policy No/Certificate of Insurance Number: <input type="text"/>
Father's Name: <input type="text"/>	
Place of Birth: <input type="text"/>	Country of citizenship: <input type="text"/>
US Person <input type="checkbox"/> Yes <input type="checkbox"/> No	
Occupation Type: <input type="checkbox"/> Service / <input type="checkbox"/> Business/ <input type="checkbox"/> Professional/ <input type="checkbox"/> Others/ <input type="checkbox"/> Not Categorized	
Identification Type: (Document submitted as proof of the identity of the Claimant)	
<input type="checkbox"/> A- Passport <input type="checkbox"/> B- Election ID Card <input type="checkbox"/> C- PAN Card <input type="checkbox"/> D- ID Card <input type="checkbox"/> E- Driving License <input type="checkbox"/> G-UIDAI Letter <input type="checkbox"/> H- NREGA Job card <input type="checkbox"/> Z- Others <input type="checkbox"/> X- Not Categorized <input type="checkbox"/> U- Aadhaar No	
Identification Number: <input type="text"/>	
(Number mentioned in the identification document as above. This information is mandatory if PAN or Aadhaar number is not reported)	

Full Address in the Country of your Work (Foreign Address):

Name of the Country: <input type="text"/>	Name of the Company: <input type="text"/>
Address 1: <input type="text"/>	
Address 2: <input type="text"/>	
City: <input type="text"/>	State: <input type="text"/>
ZIP code: <input type="text"/>	
Telephone No with Country Code: <input type="text"/>	

Residence for Tax Purposes in Jurisdiction(s) outside India: YES / NO (If "YES" then mandatorily to fill the balance details and sign. If "NO" then sign)

For the purposes of taxation, I am a resident in the following countries and my Tax Identification Number (TIN)/functional equivalent in each country is set out below or I have indicated that a TIN/functional equivalent is unavailable (Kindly fill details of all countries of tax residence if more than one):

Country/Countries of Tax Residency	Address in the jurisdiction for Tax Residence	Tax Identification Number (TIN)/ Functional Equivalent Number*	TIN/ Functional Equivalent Number Issuing Country	Validity of documentary evidence provided

*Documentary evidence to be provided for TIN / Functional Equivalent. TIN/functional equivalent to be communicated to the bank within 90 days, else account will get closed.

In case parameters such as Place of Birth or residence for tax purpose or citizenship indicates that you are a US person or a person resident outside of India for tax purpose and you do not have Tax Identification Number/functional equivalent, please tick the reasons for non-availability of TIN and sign the self-certification given below:

- Country not issuing TIN. Functional equivalent _____ (mention Visa/Residence/Work permit number)
- Dependent visa _____ (mention dependent visa number)
- Student Visa _____ (mention Student visa number)
- Seafarer status _____ (mention CDC/visa number)
- Going to the country of residence for first time _____ (mention visa number).

Or

- I am a person resident in India as well as resident for tax purposes in India (In this case please sign the below certification)

I confirm that I am neither a US Person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India

Document Proof submitted

Passport, election id, pan card, driving license, UIDAI Letter, NREGA Job card

In case you are declaring US person status as 'No' but your Country of Birth is US, please, provide document evidencing Relinquishment of Citizenship. If not available provide reasons for not having relinquishment certificate.

Declaration and Undertakings

I/We, certify that:

- a) It shall be my / our responsibilities to educate myself / our self and to comply at all times with all relevant laws relating to reporting under section 285BA of the Act read with the Rules 114F to 114H of the Income Tax Rules, 1962 thereunder and the information provided in the Form is in accordance with the aforesaid rules,
- b) The information provided by me/us in the Form, its supporting Annexures as well as in the documentary evidence are, to the best of our knowledge and belief, true, correct and complete and that I/we have not withheld any material information that may affect the assessment/categorization of the account as a Reportable account or otherwise,
- c) I/We permit/authorise the Company to collect, store, communicate and process information relating to the policy/account & all transactions therein, by the Company and any of its affiliates wherever situated including sharing, transfer and disclosure between them or with any entity or entities, and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign,
- d) **I / We undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided in the Form, its supporting Annexures as well as in the documentary evidence provided by us or if any certification becomes incorrect and to provide fresh self-certification along with documentary evidence,**

- e) I / We also agree that in case of our failure to disclose any material fact known to us, now or in future, the Company may report to any regulator and/or any authority designated by the Government of India (GOI) /RBI/IRDA for the purpose or take any other action as may be deemed appropriate by the Company if the deficiency is not remedied by us within the stipulated period.
- f) I /We hereby accept and acknowledge that the Company shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me / us to the Company.
- g) I/We also agree to furnish such information and/or documents as the Company may require from time to time on account of any change in law either in India or abroad in the subject matter herein.
- h) I/We shall indemnify the Company for any loss that may arise to the Company on account of providing incorrect or incomplete information.

Date:

Signature (s)

Place:

Name:

Instructions

1. All the above information should be mandatorily provided.
2. In case PAN is not available father's name is mandatory.
3. All the Addresses provided in Claimant's Statement and the above annexure should contain City/Town, State, Postal Code and Country
4. Clarification/Guidelines on filling details if claimant's residence for tax purposes in jurisdiction(s) outside India
 - Jurisdiction(s) of Tax Residence: Since US taxes the global income of its citizen, every US citizen of whatever nationality, is also a resident for tax purpose in USA.
 - Tax Identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number and resident registration number)

Tata AIA Life Insurance Company Ltd. (IRDA of India Regn. No. 110 CIN: U66010MH2000PLC128403).

Registered & Corporate Office Address: 14th Floor, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400013.

For more Information, contact your advisor or call on our Helpline No **1-860-266-9966** (local charges apply) or SMS "**Service**" to **58888** or

e-mail us at customercare@tataaia.com or visit our website www.tataaia.com • **L&C/Misc/2016/Jul/329**